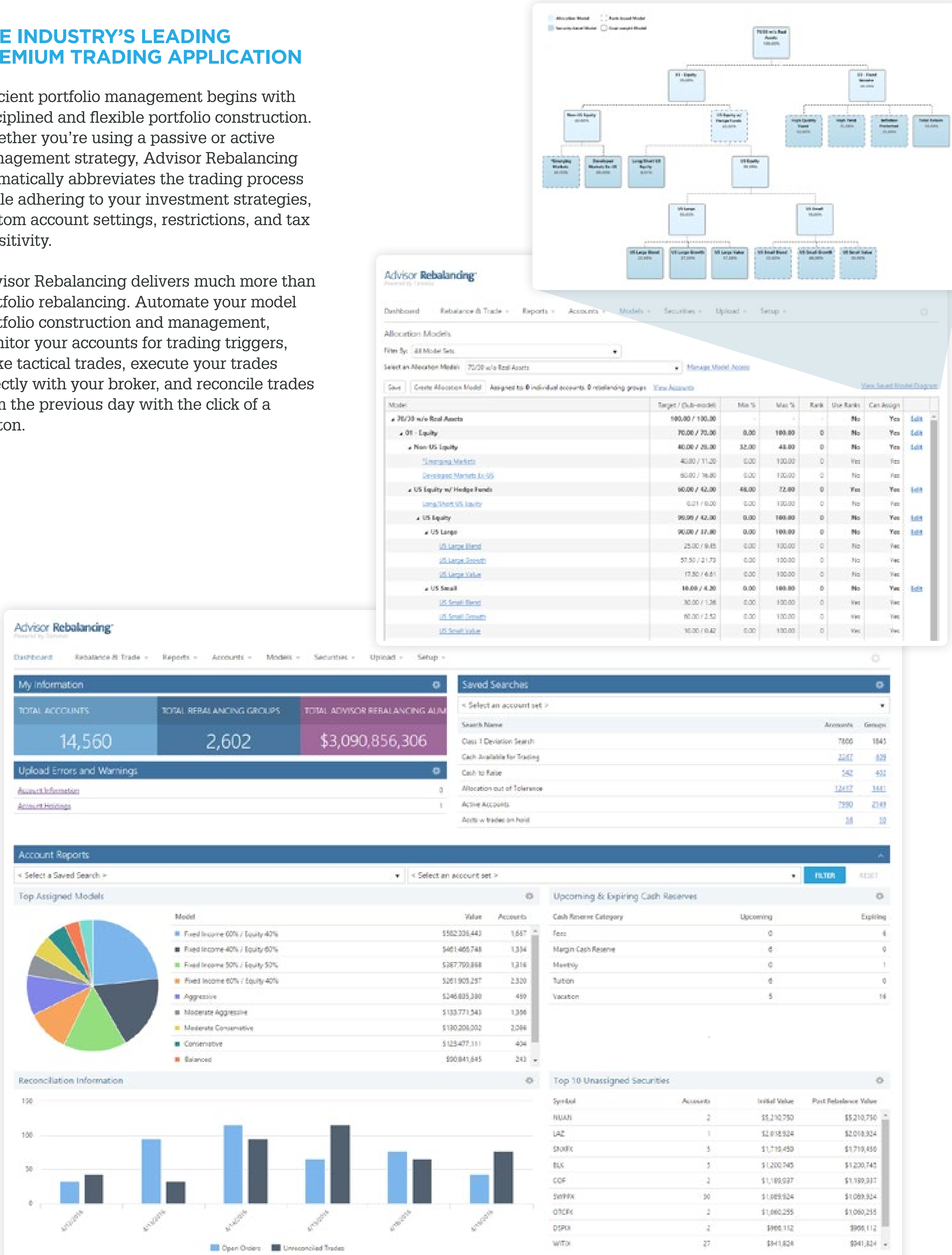
ADVISOR REBALANCING®

THE INDUSTRY'S LEADING PREMIUM TRADING APPLICATION

Efficient portfolio management begins with disciplined and flexible portfolio construction. Whether you're using a passive or active management strategy, Advisor Rebalancing dramatically abbreviates the trading process while adhering to your investment strategies, custom account settings, restrictions, and tax sensitivity.

Advisor Rebalancing delivers much more than portfolio rebalancing. Automate your model portfolio construction and management, monitor your accounts for trading triggers, make tactical trades, execute your trades directly with your broker, and reconcile trades from the previous day with the click of a button.



SOME TOP FEATURES OF ADVISOR REBALANCING INCLUDE:

MODEL PORTFOLIO CONSTRUCTION - Extensive modeling capabilities enable efficient management across thousands of investors with different goals, risk tolerances, and investment objectives.

DAILY PORTFOLIO MONITORING - Instantly look across all accounts and households for any custom criteria such as accounts that have drifted outside their target allocation, accounts that are holding too little cash, and accounts that are holding unrealized gains and losses.

CASH MANAGEMENT - Extensive cash management functionality and cash reserve tolerance bands make it easy to maintain proper cash reserves for each client's unique needs while adhering to the model, account restrictions, and tax sensitivity.

DIRECTED TRADES - Make tactical moves, focusing on individual securities, across one or many client accounts, outside the constraints of a model.

TAX MANAGEMENT AND TAX LOSS HARVESTING - Automate different loss harvesting strategies at the individual security level or across the entire account. Reinvestment of cash generated from harvesting activities is also automated so that the account can stay fully invested while taking the benefits of the tax loss harvesting opportunity.

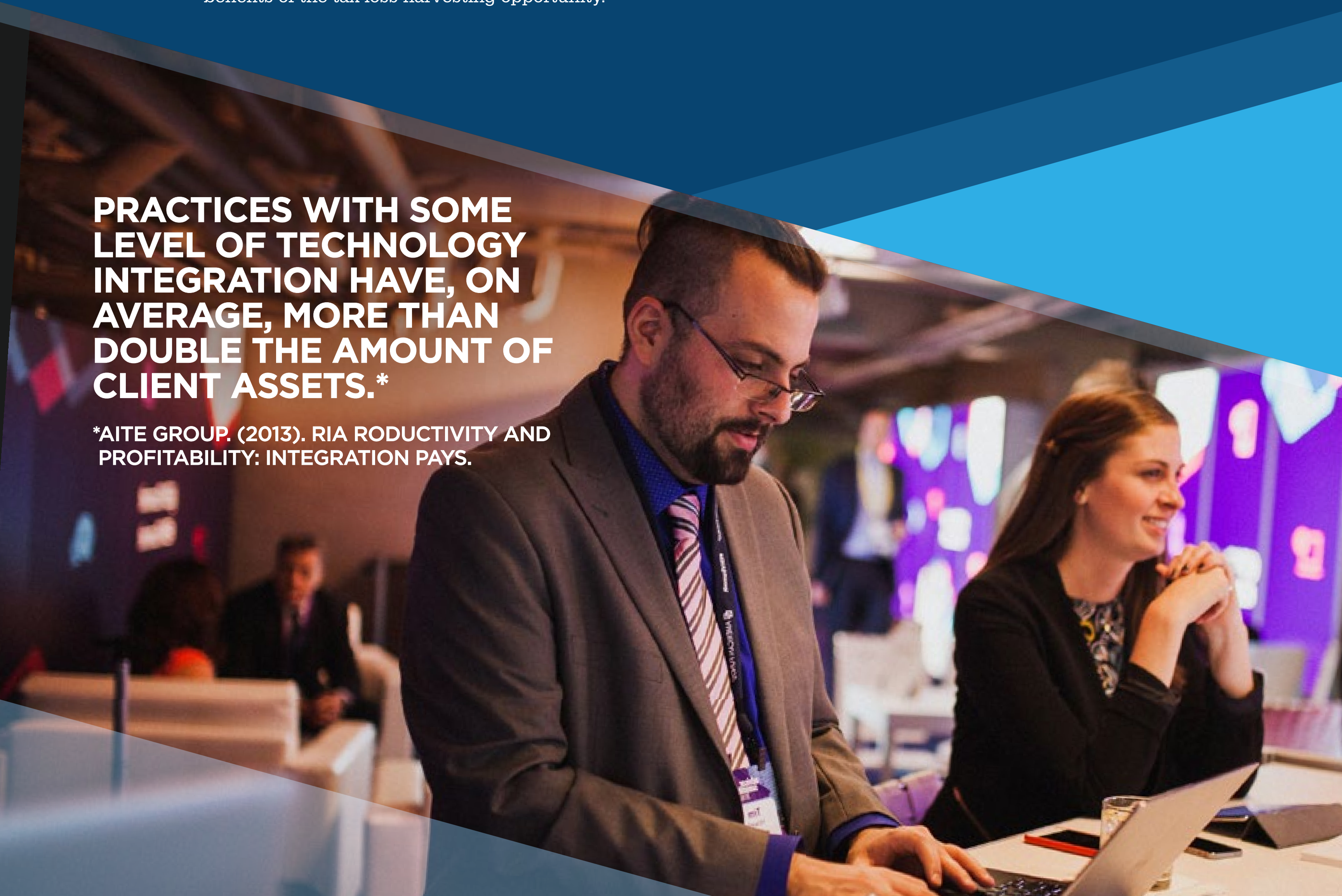
REBALANCING - Rebalance thousands of accounts and households in minutes. Take advantage of an "always on" rebalancing method and rebalance accounts as they meet your requirements.

REAL-TIME PRICING - Calculate trades with real-time pricing for U.S. equities and ETFs listed on U.S. stock exchanges, including NASDAQ, NYSE, NYSE Alternext, and over-the-counter exchanges.

TRADE RECONCILIATION - Automate the review process of logged trades against executed trades from your custodian with the click of a button, fulfilling your SEC-mandated obligation.

STRAIGHT-THROUGH PROCESSING - Execute mutual fund, ETF, and equity trades directly from Advisor Rebalancing. Streamline your trading workflows by routing and tracking trades from creation through settlement.

INTEGRATION AND ACCESSIBILITY - Handle your client request with greater efficiency using the integrations within the Advisor Xi suite. Contextually link into Advisor Rebalancing from Advisor CRM at the account or household level to process cash requests, rebalance accounts, manage cash settings, view account settings, and more.



PRACTICES WITH SOME LEVEL OF TECHNOLOGY INTEGRATION HAVE, ON AVERAGE, MORE THAN DOUBLE THE AMOUNT OF CLIENT ASSETS.*

*AITE GROUP. (2013). RIA RODUCTIVITY AND PROFITABILITY: INTEGRATION PAYS.